## Noncovalent Interactions and Symmetry-Adapted Perturbation Theory

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## Outline

- Introduction
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  - Computational Considerations
- Symmetry-Adapted Perturbation Theory
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  - Electrostatics
- Implementation of SAPT
  - Density Fitting and Cholesky Decompositions
  - Factorization of Higher-order Corrections

- · Organic Crystals
  - · Lattice Energy
  - · Structure



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    - $\cdot$  Intercalation
- · Nanotechnology
- · Proteins
  - · Structure
  - $\cdot$  Solvation
  - Ligand Binding



### **Energy Components**

- Electrostatics
  - Classical Coulombic interactions
- Induction
  - Multipole induced multipole
  - Charge Transfer
- London Dispersion
- Exchange-Repulsion
  - Purely quantum mechanical effect

### Electrostatics

- Coulombic Interaction
  - Multipole expansion

 $\underline{q_a q_b}$  $E_{elst}$  $\epsilon r_{ab}$ 



### Induction

- Response Term
  - Dipole -> Induced Dipole



### Dispersion

- London Dispersion Forces
  - Instantaneous charge fluctuations



### **Exchange-Repulsion**

- Quantum Mechanical Effect
  - Pauli Repulsion
  - Not related to any physical observables
- Interchanging of electronic coordinates
- Contributions from:
  - Orbital Overlap
  - Exchange Integrals

### Computing Electrostatics and Induction

- Accuracy
  - Mean field theory
  - Quality of the density
- Charge Interpenetration

 $q_a q_b$ 

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 $q_a q_b$  $E_{elst}$  $\epsilon r_{ab}$ 



### **Computing Dispersion**

- Electron Correlation
- HF and DFT Fail!



### Symmetry-Adapted Perturbation Theory

- Directly computes interaction energies
  - Perturbational
  - Supermolecular
- Computes each component separately
- Free from BSSE
- Size extensive
- Size consistent

$$\Psi_0 = \Psi_0^A \, \Psi_0^B$$

### **General SAPT**

**Electronic Schrödinger Equation** 

$$H_0 = -\frac{1}{2} \sum_i \nabla^2 - \sum_{a,i} \frac{Z_a}{r_{ai}} + \sum_{a>b} \frac{Z_a Z_b}{r_{ab}} + \sum_{i>j} \frac{1}{r_{ij}}$$

# Define zeroth-order Hamiltonian for each monomer

$$\begin{split} H_0^A &= -\frac{1}{2} \sum_{i_1} \nabla^2 - \sum_{a_1, i_1} \frac{Z_{a_1}}{r_{a_1 i_1}} + \sum_{a_1 > a_2} \frac{Z_{a_1} Z_{a_2}}{r_{a_1 a_2}} + \sum_{i_1 > i_2} \frac{1}{r_{i_1 i_2}} \quad a, i \in A \\ H_0^B &= -\frac{1}{2} \sum_{j_1} \nabla^2 - \sum_{b_1, j_1} \frac{Z_{b_1}}{r_{b_1 j_1}} + \sum_{b_1 > b_2} \frac{Z_{b_1} Z_{b_2}}{r_{b_1 b_2}} + \sum_{j_1 > j_2} \frac{1}{r_{j_1 j_2}} \quad b, j \in B \end{split}$$

### Interaction Potential

**Define Intermolecular Operator** 

$$V = H_0^{AB} - H_0^A - H_0^B$$

Explict form of *V*:

$$V = \sum_{i,j} \frac{1}{r_{ij}} - \sum_{b,i} \frac{Z_b}{r_{bi}} - \sum_{a,j} \frac{Z_a}{r_{aj}} + \sum_{a,b} \frac{Z_a Z_b}{r_{ab}}$$

**Full Dimer Hamiltonian** 

$$H = H_0^A + W^A + H_0^B + W^B + V$$

### **Electrostatic Interactions**

Use intermolecular operator

$$\nu(ij) = r_{ij}^{-1} + \frac{\nu_B(i)}{N_B} + \frac{\nu_A(j)}{N_A} + \frac{V_{AB}}{N_A N_B}$$

Integrate over the density of A and B

$$E_{elst} = \int \rho_A(i) \,\nu(ij) \,\rho_B(j) \,d\tau_i \,d\tau_j$$

Why do the number of electrons appear?

### **Alternative Formulation**

Use second quantized form of V

$$V = (\kappa \lambda | \mu \nu) a^{\kappa}_{\lambda} b^{\mu}_{\nu} + (\nu_A)^{\nu}_{\mu} b^{\mu}_{\nu} + (\nu_B)^{\lambda}_{\kappa} a^{\kappa}_{\lambda} + V_{AB}$$
$$c^{\mu}_{\nu} = c^{\dagger}_{\mu} c_{\nu}$$

Evaluate the expectation value of V

$$E_{elst} = \langle \Psi_0^A \, \Psi_0^B \, | \, V \, | \, \Psi_0^A \, \Psi_0^B \, \rangle$$

 $E_{elst} = \langle \Psi_0^A \Psi_0^B | (\kappa \lambda | \mu \nu) a_\lambda^\kappa b_\nu^\mu + (\nu_A)_\mu^\nu b_\nu^\mu + (\nu_B)_\kappa^\lambda a_\lambda^\kappa + V_{AB} | \Psi_0^A \Psi_0^B \rangle$ 

### Electrostatics Cont.

 $E_{elst} = \langle \Psi_0^A \Psi_0^B | (\kappa \lambda | \mu \nu) a_{\lambda}^{\kappa} b_{\nu}^{\mu} | \Psi_0^A \Psi_0^B \rangle +$  $\langle \Psi_0^A \Psi_0^B | (\nu_A)^{\nu}_{\mu} b^{\mu}_{\nu} | \Psi_0^A \Psi_0^B \rangle +$  $\langle \Psi_0^A \Psi_0^B | (\nu_B)^{\lambda}_{\kappa} a^{\kappa}_{\lambda} | \Psi_0^A \Psi_0^B \rangle +$  $\langle \Psi_0^A \Psi_0^B | V_{AB} | \Psi_0^A \Psi_0^B \rangle$  $E_{elst} = (\kappa \lambda | \mu \nu) \langle \Psi_0^A \Psi_0^B | a_\lambda^\kappa b_\nu^\mu | \Psi_0^A \Psi_0^B \rangle +$  $(\nu_A)^{\nu}_{\mu} \langle \Psi^A_0 \Psi^B_0 | b^{\mu}_{\nu} | \Psi^A_0 \Psi^B_0 \rangle +$  $(\nu_B)^{\lambda}_{\kappa} \langle \Psi^A_0 \Psi^B_0 | a^{\kappa}_{\lambda} | \Psi^A_0 \Psi^B_0 \rangle +$  $V_{AB} \langle \Psi_0^A \Psi_0^B | \Psi_0^A \Psi_0^B \rangle$ 

### Electrostatics Cont.

$$\begin{split} E_{elst} &= \left(\kappa\lambda|\mu\nu\right) \left\langle \Psi_{0}^{A} \mid a_{\lambda}^{\kappa} \mid \Psi_{0}^{A} \right\rangle \left\langle \Psi_{0}^{B} \mid b_{\nu}^{\mu} \mid \Psi_{0}^{B} \right\rangle \\ &\quad \left(\nu_{A}\right)_{\mu}^{\nu} \left\langle \Psi_{0}^{B} \mid b_{\nu}^{\mu} \mid \Psi_{0}^{B} \right\rangle + \\ &\quad \left(\nu_{B}\right)_{\kappa}^{\lambda} \left\langle \Psi_{0}^{A} \mid a_{\lambda}^{\kappa} \mid \Psi_{0}^{A} \mid \Psi_{0}^{B} \right\rangle \\ &\quad E_{elst} &= \left(\kappa\lambda|\mu\nu\right) \left\langle a_{\lambda}^{\kappa} \right\rangle \left\langle b_{\nu}^{\mu} \right\rangle + \\ &\quad \left(\nu_{A}\right)_{\mu}^{\nu} \left\langle b_{\nu}^{\mu} \right\rangle + \\ &\quad \left(\nu_{B}\right)_{\kappa}^{\lambda} \left\langle a_{\lambda}^{\kappa} \right\rangle + \end{split}$$

### **Electrostatic Interaction in SAPT**

In terms of spin orbitals:

$$E_{elst} = (\alpha \alpha | \beta \beta) + (\nu_A)^{\beta}_{\beta} + (\nu_B)^{\alpha}_{\alpha} + V_{AB}$$

#### After spin integration:

$$E_{elst} = 4 (aa|bb) + 2 (\nu_A)_b^b + 2 (\nu_B)_a^a + V_{AB}$$

### SAPT0

$$E_{electrostatic} = E_{elst}^{(10)}$$

$$E_{exchange} = E_{exch}^{(10)}$$

$$E_{induction} = E_{ind,resp}^{(20)} + E_{exch-ind,resp}^{(20)} + \delta E_{ind,resp}^{(HF)}$$

$$E_{dispersion} = E_{disp}^{(20)} + E_{exch-disp}^{(20)}$$

### SAPT2

$$E_{electrostatic} = E_{elst}^{(10)} + E_{elst,resp}^{(12)} + E_{elst,resp}^{(13)}$$

$$E_{exchange} = E_{exch}^{(10)} + E_{exch}^{(11)} + E_{exch}^{(12)}$$

$$E_{induction} = E_{ind,resp}^{(20)} + E_{exch-ind,resp}^{(20)} + {}^{t}E_{ind}^{(22)} + {}^{t}E_{exch-ind}^{(22)} + \delta E_{ind,resp}^{(HF)}$$

$$E_{dispersion} = E_{disp}^{(20)} + E_{disp}^{(30)} + E_{disp}^{(21)} + E_{disp}^{(22)} + E_{exch-disp}^{(20)},$$

### **Approximate Two-Electron Integrals in SAPT**

- · SAPT0
  - Scales  $O(0^3 V^2)$
  - Requires o<sup>2</sup>v<sup>2</sup> integrals
- Conventional Integral Transformation
  - Scales O(oN<sub>A0</sub><sup>4</sup>)
- Density Fitting and Cholesky Decompositions

$$(\mu\nu|\rho\sigma)\approx\sum_Q B^Q_{\mu\nu}B^Q_{\rho\sigma}$$

### **Density Fitting Approximation**

$$(\mu\nu|\rho\sigma)\approx\sum_{PQ}(\mu\nu|P)[J^{-1}]_{PQ}(Q|\rho\sigma)$$

$$[J]_{PQ} = \int P(\mathbf{r}_1) \frac{1}{r_{12}} Q(\mathbf{r}_2) d^3 \mathbf{r}_1 d^3 \mathbf{r}_2$$

$$B^Q_{\mu\nu} = \sum_P (\mu\nu|P) [J^{-\frac{1}{2}}]_{PQ}$$

$$(\mu\nu|\rho\sigma)\approx\sum_Q B^Q_{\mu\nu}B^Q_{\rho\sigma}$$

### **Cholesky Decompositions**

$$\begin{split} L^{\rho\sigma}_{\rho\sigma} &= \sqrt{\left(\rho\sigma|\rho\sigma\right) - \sum_{n=0}^{\rho\sigma_{max}-1} L^n_{\rho\sigma} L^n_{\rho\sigma}},\\ L^{\rho\sigma}_{\mu\nu} &= \frac{1}{L^{\rho\sigma}_{\rho\sigma}} \left( \left(\mu\nu|\rho\sigma\right) - \sum_{n=0}^{\rho\sigma_{max}-1} L^n_{\mu\nu} L^n_{\rho\sigma} \right), \\ \mu\nu &\neq \rho\sigma \end{split}$$

$$(\mu\nu|\rho\sigma)\approx\sum_{Q}L^{Q}_{\mu\nu}L^{Q}_{\rho\sigma}$$

Work is shifted away from the transformation

$$L^Q_{ij} = \sum_{\mu\nu} C^{M\dagger}_{\mu i} L^Q_{\mu\nu} C^N_{\nu j}$$

### **SAPTO Factorizations**

 $q12 = t_{r_1s_1}^{a_1b_1} \nu_{a_2b_2}^{r_1s_1} S_{b_1}^{a_2} S_{a_1}^{b_2}$ 

(111) SAPT2 Factorizations

 $E_{exch}^{(111)} = -4Re\theta_{a_1a_2}^{r_1r_2}\theta_{b_1b_2}^{s_1s_2}\nu_{r_1s_1}^{a_1b_1}S_{s_2}^{a_2}S_{r_2}^{b_2} - 4Re\theta_{r_1r_2}^{a_1a_2}\theta_{b_1b_2}^{s_1s_2}\nu_{a_1s_1}^{r_1b_1}S_{a_2}^{b_2}S_{s_2}^{r_2},$